

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

EARNEST HENDERSON, et al., NO **C-05-234 VRW**

NO C-05-234 VRW

Plaintiffs,

ORDER

v

CITY AND COUNTY OF SAN FRANCISCO,
et al,

Defendants.

Plaintiffs, who are present and former inmates of the San Francisco county jail, claim that the individual sheriff's deputy defendants violated plaintiffs' due process rights by using excessive force against plaintiffs and were deliberately indifferent to plaintiffs' serious medical needs during six separate incidents between December 2003 and December 2004. Plaintiffs also allege that the City and County of San Francisco ("the City"), the San Francisco Sheriff's Department ("SFSD"), the San Francisco Department of Public Health Services and Sheriff Michael Hennessey established various customs and practices that enabled the deputy defendants to violate plaintiffs' constitutional rights. Doc #1.

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1 Defendants have moved for summary judgement on all claims
2 and seek sanctions for plaintiffs' alleged violations of the
3 court's protective order. Plaintiffs have moved to stay the
4 court's hearing pending further discovery pursuant to FRCP 56(f)
5 and have moved for leave to amended their complaint. For reasons
6 discussed below, defendants' motion for summary judgment is GRANTED
7 in part and DENIED in part and defendants' motion for sanctions is
8 GRANTED. Plaintiffs' motion for leave to amend their complaint is
9 DENIED.

10

11 I

12 A

13 On December 13, 2003, plaintiff Earnest Henderson was a
14 pretrial detainee at San Francisco county jail no 2 when he was
15 allegedly assaulted by the sheriff's deputies. Candell decl, Ex A;
16 Henderson depo at 14:12-14. According to Henderson, deputy Young
17 directed Henderson to a holding cell without any explanation except
18 to say "I'm going to make sure you learn to respect me." Henderson
19 depo at 59:20-73:6, 74:12-21, 75:7-17 and 76:3-8. Once in the
20 holding cell, deputies Young, Prado and Napata cornered Henderson
21 and then, without provocation, deputy Young punched Henderson's
22 head. Id at 89:7-23, 90:15-92:2, 92:7-14 and 94:23-96:3.
23 Thereafter, the deputies allegedly threw Henderson to the ground
24 and repeatedly punched Henderson and shoved his face into the
25 floor, id at 103:18-22, 106:4-9 and 107:4-16, until Henderson
26 passed out from the pain, id at 109:23-110:5.

27 Next, Henderson recalls regaining consciousness as he was
28 being dragged to a safety cell by deputies Young and Napata. Id at

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1 113:18-24 and 114:17-20. Soon after, a nurse practitioner arrived
2 and provided Henderson with Tylenol, but she refused Henderson's
3 request for hospital treatment. Id at 132:10-21 and 133:15-20.
4 The nurse practitioner's report stated that "[patient] was able to
5 full[y] bear [weight], able to lift, flex and extend [right] leg"
6 and that there was no evidence of discoloration or swelling.
7 Goldenson decl, Ex Q at 1. A similar medical report was entered
8 the next morning, id, when Henderson received more Tylenol and was
9 placed in administrative segregation. Henderson depo at 149:21-
10 150:10. The following day, Henderson visited jail medical
11 services, and again, the medic on staff refused Henderson's request
12 for hospitalization. Id at 161:1-162:4. Later that night,
13 Henderson fell to the ground unconscious; he woke up in San
14 Francisco general hospital. Id at 166:7-15, 172:11-23 and 179:2-5.
15 Henderson was diagnosed with a L1-transverse process fracture, i.e.,
16 a minor fracture in his lumbar vertebra. Goldenson decl, ¶ 61.

17 Plaintiff Janel Gotta's altercation occurred on March 10,
18 2006. Gotta claims the deputies induced a panic attack by
19 informing Gotta that she was to be transferred to Washington state.
20 Gotta depo at 49:11-19. This panic attack rendered her unable to
21 move, which led the deputies to extract Gotta from her cell. Id at
22 55:17-24, 57:16-24, 61:11-14. During this transfer, Gotta alleges
23 that a deputy lifted up her shirt, pinched her left breast and
24 threatened to injure Gotta. Id at 78:1-79:9. Once the deputies
25 placed Gotta in a holding cell, Gotta was denied her request for
26 treatment from jail psychiatric services. Id at 87:13-23. Gotta
27 also requested her anti-anxiety medication, which defendants
28 refused as well. Id at 88:1-6. A second panic attack ensued and

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1 Gotta began striking her head against the plexiglass wall. Id
2 92:25-93:17. After the panic attack, a nurse practitioner arrived
3 and provided Gotta with Tylenol. Id at 97:11-15. Eventually,
4 Gotta was taken to San Francisco general hospital and provided
5 anti-anxiety medication. Id at 110:14-19.

6 Plaintiff Aaron Rauls was booked into the San Francisco
7 county jail on May 16, 2004. Candell decl, Ex H. The next day,
8 Rauls alleges that several deputies assaulted him without
9 provocation, causing Rauls to soil himself. Rauls depo at 50:7-
10 58:9, 59:6-19, 62:7-22, 64:6-7 and 65:5-11. After this incident,
11 the deputies moved Rauls to a safety cell, where Rauls reported
12 pain in his head, back and ankle to jail staff and also requested
13 clean clothes. Id at 84:11-15. For seventeen hours, Rauls
14 remained in the safety cell without medical care or clean clothes.
15 Id at 89:10-11. During this time, defendants claim Rauls
16 threatened to kill jail personnel and their family, but Rauls
17 denies this allegation, id at 86:5-17 and 88:5-7. Rauls was then
18 moved to administrative segregation, where he remained for two
19 weeks. Id at 95:17-96:7. While in administrative segregation,
20 Rauls received medical evaluation and treatment, Goldenson decl, Ex
21 O, which revealed that he had suffered a minor ankle sprain, id.

22 Plaintiff Michael Perez's incident occurred July 5, 2004,
23 while he was awaiting trial. According to Perez, he was leaving
24 the jail gymnasium when he was told to wait behind for deputy
25 Prado. Perez depo at 45:10-47:15, 59:24-60:6, 62:10-63:6 and 64:2-
26 8. One minute later, deputy Prado arrived and started punching
27 Perez without provocation. Id. Deputy Prado allegedly continued
28 punching and kicking Perez, even as he fell to the ground. Id at

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1 73:11-74:25, 79:10-12, 80:20-24. After this incident, Perez was
2 placed in administrative segregation, where he remained for ten
3 months. Id at 115:3-8, 104:7-12, 105:8-20, 106:1-2, 110:15-111:8
4 and 114:24.

5 Plaintiff Arturo Pleitez was allegedly assaulted by
6 deputy Prado on November 23, 2004, during a housing transfer.
7 Pleitez states that deputy Prado began punching without
8 provocation, and continued striking Pleitez after he fell to the
9 ground. Pleitez depo at 37:7-40:25, 47:18-48:10 and 49:20-50:4.
10 The deputies then carried Pleitez to a safety cell. Id. While in
11 the safety cell, deputy Prado allegedly forced Pleitez's face into
12 the cell's toilet and tore off Pleitez's clothes, leaving him
13 partially naked. Id at 54:12-56:8, 56:16-21, 56:13-15, 58:7-59:24.
14 Pleitez claims he did not receive medical care for his "swollen
15 face and black eye." Id. The medical records indicate, however,
16 that Pleitez was examined by three different nurses. Goldenson
17 decl, ¶ 35, Ex N. None of the nurses identified any injuries, and
18 each noted that Pleitez had no complaints. Id at ¶¶ 31-36, Ex N.

19 Plaintiff Mack Woodfox, a pretrial detainee at the San
20 Francisco county jail, was returning to his cell when he was
21 allegedly attacked by deputy Prado. Candell decl, Ex F. After the
22 incident, the medical staff provided Woodfox with an ice pack,
23 though Woodfox demanded more thorough care. Id. Woodfox was taken
24 to San Francisco general hospital after he lost consciousness two
25 days after the incident. Id. At the hospital, medical staff
26 reported that Woodfox suffered a fractured bone in his nose and a
27 broken blood vessel in his eye. Woodfox depo, at 63:6-9.
28

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In reviewing a summary judgment motion, the court must determine whether genuine issues of material fact exist, resolving any doubt in favor of the party opposing the motion. “[S]ummary judgment will not lie if the dispute about a material fact is ‘genuine,’ that is, if the evidence is such that a reasonable jury could return a verdict for the nonmoving party.” Anderson v. Liberty Lobby, 477 US 242, 248 (1986). “Only disputes over facts that might affect the outcome of the suit under the governing law will properly preclude the entry of summary judgment.” Id. And the burden of establishing the absence of a genuine issue of material fact lies with the moving party. Celotex Corp v Catrett, 477 US 317, 322-23 (1986). When the moving party has the burden of proof on an issue, the party’s showing must be sufficient for the court to hold that no reasonable trier of fact could find other than for the moving party. Calderone v United States, 799 F2d 254 (6th Cir 1986). Summary judgment is granted only if the moving party is entitled to judgment as a matter of law. FRCP 56(c).

The nonmoving party may not simply rely on the pleadings, however, but must produce significant probative evidence supporting its claim that a genuine issue of material fact exists. TW Elec Serv v Pacific Elec Contractors Ass’n, 809 F2d 626, 630 (9th Cir 1987). The evidence presented by the nonmoving party “is to be believed, and all justifiable inferences are to be drawn in his favor.” Anderson, 477 US at 255. “[T]he judge’s function is not himself to weigh the evidence and determine the truth of the matter but to determine whether there is a genuine issue for trial.” Id at 249.

II

Plaintiffs allege the deputies at San Francisco county jail used excessive force in violation of plaintiffs' due process rights under the Fourteenth Amendment. Plaintiffs contend the City and County of San Francisco, the SFSD and Sheriff Michael Hennessey are also liable for the deputies' use of excessive force. Defendants have moved for summary judgment on these claims.

A

The Due Process Clause of the Fourteenth Amendment protects a post-arraignment pretrial detainee from the use of excessive force that amounts to punishment. Graham v Connor, 490 US 386, 395 n10 (1989) (citing Bell v Wolfish, 441 US 520, 535-39 (1979)); compare Pierce v Multnomah County, Oregon, 76 F3d 1032, 1043 (9th Cir 1996) (Fourth Amendment reasonableness standard applies to allegations of use of excessive force against pre-arraignment detainee). Several circuits have held that excessive force claims brought by pretrial detainees under the Fourteenth Amendment are analytically identical to those brought by prisoners under the Eighth Amendment. See United States v Walsh, 194 F3d 37, 48 (2d Cir 1999); Riley v Dorton, 115 F3d 1159, 1167 (4th Cir 1997). Although the Ninth Circuit has not squarely addressed the issue, it has routinely used the Eighth Amendment as a benchmark for evaluating claims brought by criminal pretrial detainees. See, e.g., Redman v County of San Diego, 942 F2d 1435, 1443 (9th Cir 1991) (pretrial detainee alleging due process violation when attacked by other inmates must show deliberate indifference to personal security as would a prisoner bringing such claim under 8th

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1 Amendment) (en banc).

2 In order to demonstrate unconstitutional excessive force,
3 a claimant must show that officials applied force maliciously and
4 sadistically to cause harm. Hudson v McMillian, 503 US 1, 6-7
5 (1992). The Eighth Amendment does not require a specific intent to
6 punish a specific individual. Robins v Meecham, 60 F3d 1436, 1439
7 (9th Cir 1995). Rather, the standard is whether "the defendants
8 applied force 'maliciously and sadistically for the very purpose of
9 causing harm,' -- that is any harm." Id at 1441 (emphasis in
10 original).

11 While the extent of injury suffered by an inmate is one
12 of the factors to be considered in determining whether the use of
13 force is wanton and unnecessary, the absence of serious injury does
14 not end the Eighth Amendment inquiry. Hudson, 503 US at 7.
15 Whether the alleged wrongdoing is objectively "harmful enough" to
16 establish a constitutional violation, see Wilson v Seiter, 501 US
17 294 (1991), depends on contemporary standards of decency, Hudson,
18 503 US at 8 (citing Estelle v Gamble, 429 US 97, 103 (1976)). Such
19 standards are always violated, however, when prison officials
20 maliciously and sadistically use force to cause harm whether or not
21 significant injury is evident. Id at 8; see also Schwenk v
22 Hartford, 204 F3d 1187, 1196 (9th Cir 2000) (no lasting injury
23 required for sexual assault because sexual assault was deeply
24 offensive to human dignity); Felix v McCarthy, 939 F2d 699, 701-02
25 (9th Cir 1991) (it is not degree of injury which makes out
26 violation of Eighth Amendment but use of official force or
27 authority that is intentional, unjustified, brutal and offensive to
28 human dignity) (quoting Meredith v Arizona, 523 F2d 481, 484 (9th

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1 Cir 1975)). Yet not every malevolent touch by a prison guard gives
2 rise to a federal cause of action; indeed, the Eighth Amendment's
3 prohibition of cruel and unusual punishment necessarily excludes
4 from constitutional recognition de minimis uses of physical force.
5 Cf Hudson, 503 US at 9-10 (blows directed at inmate which caused
6 bruises, swelling, loosened teeth and cracked dental plate were not
7 de minimis).

8 The circuit courts are currently split over whether a
9 prisoner must prove that he suffered more than a de minimis injury
10 in order to prevail on an excessive force claim. Compare Brooks v
11 Kyler, 204 F3d 102, 108 (3d Cir 2000) ("[A]bsence of objective
12 proof of non-de minimis injury does not alone warrant dismissal.")
13 and Moore v Holbrook, 2 F3d 697, 700 (6th Cir 1993) ("No actual
14 injury needs to be proven to state a viable Eighth Amendment
15 claim.") with Gomez v Chandler, 163 F3d 921, 924 (5th Cir 1999)
16 ("[T]o support an Eighth Amendment excessive force claim a prisoner
17 must have suffered from the excessive force a more than de minimis
18 injury.") and Norman v Taylor, 25 F3d 1259, 1263 (4th Cir 1994) (en
19 banc) (plaintiff must show more than de minimis injury), cert
20 denied, 513 US 1114 (1995). Although the Ninth Circuit has not
21 addressed the issue, it has strongly suggested that a prisoner need
22 only prove that the use of physical force was more than de minimis.
23 See Oliver v Keller, 289 F3d 623, 628 (9th Cir 2002) (clarifying
24 that in embracing physical injury standard under 42 USC § 1997e(e)
25 adopted by several circuits, Ninth Circuit does not subscribe to
26 reasoning of some of those circuits that 8th Amendment claims
27 require that "the injury must be more than de minimis;" the
28 standard used for 8th Amendment excessive force claims only

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1 examines whether the use of physical force is more than de
2 minimis); see also Schwenk, 204 F3d at 1196-97 n6 (prisoner need
3 not prove any injury "where the assault is one, such as attempted
4 rape, that lacks any legitimate penological justification and is
5 'offensive to human dignity'").

6 In determining whether the use of force was wanton and
7 unnecessary, it may also be proper to evaluate the need for
8 application of force, the relationship between that need and the
9 amount of force used, the threat reasonably perceived by the
10 responsible officials and any efforts made to temper the severity
11 of a forceful response. Hudson, 503 US at 7; see also LeMaire v
12 Maass, 12 F3d at 1454 (considering need for application of measure
13 or sanction complained of, relationship between need and measure or
14 sanction used, extent of any injury inflicted and extent of
15 surrounding threat to safety of staff and inmates); Spain v
16 Procunier, 600 F2d 189, 195 (9th Cir 1979) (guards may use force
17 only in proportion to need in each situation).

18 "Unreasonable force claims are generally questions of
19 fact for a jury." Hervey v Estes, 65 F3d 784, 791 (9th Cir 1995).
20 And the present case is no exception. Indeed, there are at least
21 two significant questions of fact. First, a material question
22 exists regarding the need for any force. Each plaintiff asserts in
23 their depositions that the altercations were triggered by little or
24 no provocation. Defendants respond that "undisputed facts"
25 regarding plaintiffs' conduct render the deputies' force reasonable
26 as a matter of law. See, e g, Doc #122 at 2. But defendants
27 generate these "undisputed facts" through a disingenuous portrayal
28 of plaintiffs' depositions. For example, defendants cite

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1 Henderson's deposition that he and deputy Young were "looking each
2 other in the eye" and that Henderson was "turning diagonally right
3 along with" deputy Young. Id at 3. From this description,
4 defendants conclude it is undisputed that Henderson was in a
5 "fighting stance." Id. Yet this conclusion cannot be derived from
6 Henderson's deposition. Henderson remarked about looking into
7 Young's eyes because defendants were asking about the alignment of
8 the three deputies before the alleged assault. According to
9 Henderson, the deputies formed an "arc" around him, such that he
10 and Young were "looking each other in the eye. [He was] not even
11 looking at Napatia and Prado." Id 11:52, 7-14. The statement "I
12 turned diagonally along with him right along with him" responded to
13 defendants question "[s]o did you change the way your body was
14 facing as Young walked in the room?" This hardly constitutes a
15 "fighting stance;" indeed, most would consider this shift in body
16 posture during conversation a matter of common courtesy. In any
17 event, whether Henderson turned his body with malice as defendants
18 claim, is a disputed issue for the jury to decide.

19 Second, assuming that some force was warranted, there is
20 a material issue of fact regarding the amount that was necessary.
21 Again, the appropriate level of force depends on whose version of
22 the events one believes. Plaintiffs' depositions and declarations
23 designate "specific facts showing that there is a genuine issue for
24 trial." Celotex Corp, 477 US at 324 (quoting Fed R Civ P 56(e)).
25 The court cannot, therefore, conclude on summary judgment that
26 plaintiffs' rights were not violated.

27 //
28 //

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B

2 Defendants argue that even if there was a violation of
3 plaintiffs' constitutional rights, the individual deputy defendants
4 are protected by qualified immunity.

5 Qualified immunity shields state actors from liability
6 for civil damages "insofar as their conduct does not violate
7 clearly established statutory or constitutional rights of which a
8 reasonable person would have known." Harlow v Fitzgerald, 457 US
9 800, 818 (1982). "Qualified immunity strikes a balance between
10 compensating those who have been injured by official conduct and
11 protecting government's ability to perform its traditional
12 functions." Wyatt v Cole, 504 US 158, 167 (1992). "[T]he
13 qualified immunity recognized in Harlow acts to safeguard
14 government, and thereby to protect the public at large, not to
15 benefit its agents." Wyatt v Cole, 504 US 158, 168 (1992). The
16 Supreme Court does not "draw a distinction for purposes of immunity
17 law between suits brought against state officials under [42 USC] §
18 1983 and suits brought directly under the Constitution [via Bivens
19 v Six Unknown Named Agents, 403 US 388 (1971)] against federal
20 officials." Butz v Economou, 438 US 478, 504 (1978).

21 The analysis for qualified immunity entails three steps.
22 First, the court must determine whether the facts, taken in light
23 most favorable to the party asserting the injury, show a violation
24 of the plaintiffs' statutory or constitutional rights. Saucier v
25 Katz, 533 US 194, 201 (2001). If the court finds a material
26 factual dispute whether a constitutional violation has occurred,
27 then the court determines whether the right infringed was clearly
28 established at the time of the alleged violation. Wilson v Layne,

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1 526 US 603 (1999). Finally, the court assesses whether it would be
2 clear to a reasonable person in the defendant's position that its
3 conduct was unlawful in the situation it confronted. Saucier, 533
4 US at 202, 205. See also Frederick v Morse, 439 F3d 1114, 1123
5 (9th Cir 2006) (characterizing this final inquiry as a discrete
6 third step in the analysis). "This is not to say that an official
7 action is protected by qualified immunity unless the very action in
8 question has previously been held unlawful, but it is to say that
9 in the light of pre-existing law the unlawfulness must be
10 apparent." Hope v Pelzer, 536 US 730, 739 (2002) (citation
11 omitted). For excessive force claims, courts first inquire into
12 the objective reasonableness of the officer's belief in the
13 necessity of his actions, and second, inquire into the objective
14 reasonableness of the officer's belief in the legality of his
15 actions. Wilkins v City of Oakland, 350 F3d 949, 954-55 (9th Cir
16 2003). See also Watts v McKinney, 394 F3d 710, 713 (9th Cir 2005)
17 (stating, in the context of excessive force, that "[t]he Supreme
18 Court did not need to create a catalogue of all the acts by which
19 cruel and sadistic purpose to harm another would be manifest").
20 Defendants carry the burden of proving their conduct was reasonable
21 under these standards.

22 The qualified immunity analysis is thus conceptually
23 distinct from the excessive force constitutional analysis. See
24 Marquez v Gutierrez, 322 F3d 689, 691 (9th Cir 2003). That is, a
25 deputy may violate an inmate's constitutional right, but still be
26 entitled to qualified immunity if he can show that a reasonable
27 deputy in his position would have believed his response was a good
28 faith effort to restore discipline. See id at 692-93 (guard who

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1 shot passive, unarmed inmate standing near a fight between other
2 unarmed inmates was entitled to qualified immunity because a
3 reasonable official standing where the guard was standing (i.e., in
4 a tower 360 feet away from the disturbance) could perceive that
5 both plaintiff and another inmate were threatening a third inmate
6 with serious injury). Compare Watts v McKinney, 394 F3d 710, 712-
7 13 (9th Cir 2005) (finding that prison guard could not reasonably
8 believe that he could lawfully kick the genitals of a prisoner who
9 was on the ground and in handcuffs).

10 Defendants claim they are entitled to qualified immunity
11 on all of the plaintiffs' alleged violations. Yet the factual
12 dispute is too significant for immunity. According to plaintiffs,
13 the officers attacked without provocation. Under plaintiffs'
14 version, there exists no "reasonable mistake" under which the
15 deputies could have reasonably believed in the necessity or
16 legality of their conduct. See Santos v Gates, 287 F3d 846, 855
17 n12 (9th Cir 2002) (declining to grant qualified immunity "because
18 whether the officers may be said to have made a 'reasonable
19 mistake' of fact or law, may depend upon the jury's resolution of
20 the disputed facts and the inferences it draws therefrom"). See
21 also Hervey v Estes, 65 F3d 784, 791 (9th Cir 1995) (qualified
22 immunity inappropriate in excessive force cases because they raise
23 issues of fact).

24 In sum, evaluation of plaintiffs' excessive force claims
25 depend principally on credibility determinations and the drawing of
26 factual inferences from circumstantial evidence, both of which are
27 the traditional functions of the jury; hence, a question of
28 material fact exists with respect to the amount of force used by

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1 the officers. Additionally, "because questions of reasonableness
2 are not well-suited to precise legal determination," Chew v Gates,
3 27 F3d 1432, 1440 (9th Cir 1994), the jury should be allowed to
4 assess whether the force used by the officers was excessive.
5 Accordingly, the court denies defendants' assertion of qualified
6 immunity.

7

8

C

9 Next, defendants contend that even if the deputies
10 violated plaintiffs' constitutional rights, no policy of practice
11 of San Francisco caused the violation, so neither San Francisco nor
12 final policymaker Sheriff Hennessy can be liable.

13 Under Monell v Department of Social Services, municipal
14 liability must be based upon enforcement of a municipal policy or
15 custom that caused the deprivation of the plaintiffs' federal
16 right, and not upon the municipality's mere employment of a
17 constitutional tortfeasor. 436 US 658 (1978). "The 'official
18 policy' requirement * * * intend[s] to distinguish acts of the
19 municipality from acts of employees in order to limit municipal
20 liability to conduct for which the municipality is actually
21 responsible." Pembaur v Cincinnati, 475 US 469, 478 n6 (1986).
22 Section 1983 liability may be imposed when (1) the enforcement of a
23 municipal policy or custom was (2) "the moving force" of the
24 violation of federally protected rights. See Plumeau v School Dist
25 #40 County of Yamhill, 130 F3d 432, 438 (9th Cir 1997).

26 Municipal liability under 1983 may be premised upon an
27 officially promulgated policy, a single decision by an official
28 with final decisionmaking authority, a custom or persistent

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1 practice or a deliberately indifferent training or supervision.

2 For a single decision to trigger liability, it must come
3 from an official with final decisionmaking authority; mere
4 authority to exercise discretion in the course of implementing a
5 municipal policy is insufficient. Pembaur, 475 US at 483. "When
6 an official's discretionary decisions are constrained by policies
7 not of that official's making, those policies, rather than the
8 subordinate's departures from them, are the act of the
9 municipality." City of St Louis v Prapotnik, 485 US 112, 127
10 (1988). But if the authorized policymakers approve a subordinate's
11 determination and the basis for it, "their ratification [is]
12 chargeable to the municipality because their decision is final."
13 *Id.* Whether an official has final decisionmaking authority is
14 decided by reference to state and local law. 485 US 112 (1988).

15 Proof of random acts or isolated incidents of
16 unconstitutional action by a non-policymaking employee are
17 insufficient to establish the existence of a municipal policy or
18 custom. See McDade v West, 223 F3d 1135, 1142 (9th Cir 2000);
19 Trevino v Gates, 99 F3d 911, 918 (9th Cir 1996); Thompson v City of
20 Los Angeles, 885 F2d 1439, 1444 (9th Cir 1989). "When one must
21 resort to inference, conjecture and speculation to explain events,
22 the challenged practice is not of sufficient duration, frequency
23 and consistency to constitute an actionable policy or custom."
24 Trevino, 99 F3d at 920. "Only if a plaintiff shows that his injury
25 resulted from a 'permanent and well settled' practice may liability
26 attach for injury resulting from a local government custom."
27 Thompson, 885 F2d at 1444.

28 But a plaintiff may prove the existence of a custom or

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1 informal policy with evidence of repeated constitutional violations
2 for which the errant municipal officials were not discharged or
3 reprimanded. See Gillette v Delmore, 979 F2d 1342, 1348 (9th Cir
4 1992), cert denied, 510 US 932 (1993). See, e g, Nadell v Las
5 Vegas Metro Police Dep't, 268 F3d 924, 930 (9th Cir 2001)
6 (municipal liability not established when there was no evidence at
7 trial establishing that the use of excessive force was a formal
8 policy or widespread practice of the police department or that
9 previous constitutional violations had occurred for which there was
10 no reprimand or discharge); Gomez v Vernon, 255 F.3d 1118, 1127
11 (9th Cir 2001) (correctional department administrators may not take
12 a "blind-eye" approach; condoning unconstitutional acts by the
13 failure to investigate or correct the repeated violations creates a
14 policy or custom which permits the issuance of an injunction
15 against the administrators in their official capacity). Once such
16 a showing is made, a local government may be liable for its custom
17 "irrespective of whether official policymakers had actual knowledge
18 of the practice at issue." Thompson, 885 F2d at 1444.

19 Further, municipal liability is available for
20 constitutional deprivations visited pursuant to governmental
21 customs even though such a custom has not received formal approval
22 through the body's official decision making channels. See Hyland v
23 Wonder, 117 F3d 405, 416 (9th Cir) amended, 127 F3d 1135 (9th Cir
24 1997) (municipal liability not defeated where executive committee
25 defendants failed to officially report ratification of ban that
26 violated plaintiff's First Amendment rights).

27 Here, plaintiffs first contend that Sheriff Hennessey
28 should be held liable for the deputies' alleged use of excessive

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1 force because Hennessey ratified the officers' conduct by failing
2 to reprimand them. Although "[o]rdinarily, ratification is a
3 question for the jury," Christie v Iopa, 176 F3d 1231, 1238-39 (9th
4 Cir 1999), to survive summary judgment, plaintiffs must demonstrate
5 that there is a genuine issue of material fact regarding whether
6 ratification occurred. In the present cases, plaintiffs claim that
7 Sheriff Hennessey's failure to reprimand the deputies amounts to a
8 ratification of the officers' conduct. The court disagrees.

9 Whether a municipality's post-event investigation
10 evidences a policy or custom giving rise to § 1983 liability has
11 been the subject of discussion in a long line of Ninth Circuit
12 cases. Most recently, in Henry v County of Shasta, 132 F3d 512,
13 519 (9th Cir 1997), the court held that post-event conduct is
14 "highly probative" to proving the existence of a municipal policy
15 or custom. For example, a "[p]olicy or custom may be inferred if *
16 * * officials took no steps to reprimand or discharge the [officers
17 involved], or if they otherwise failed to admit the [officers']
18 conduct was in error." Id (citing McRorie v Shimoda, 795 F2d 780,
19 784 (9th Cir 1986)); see also Larez v City of Los Angeles, 946 F2d
20 630 (9th Cir 1991). In Henry, the plaintiff's constitutional
21 rights were violated after being stopped for a traffic violation.
22 The officers involved were not reprimanded and plaintiff also
23 demonstrated that, after bringing suit, several other officers
24 employed by the county similarly detained other traffic violators.
25 Henry, 132 F3d at 519. The court concluded that when a
26 municipality "turn[s] a blind eye to severe violations of inmates'
27 constitutional rights – despite having received notice of such
28 violations – a rational fact finder may properly infer the

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1 existence of a previous policy or custom of deliberate
2 indifference." Id.

3 While Henry demonstrates that the failure to reprimand
4 may support a finding of a municipal policy or custom, it does not
5 compel this inference. Indeed, the Ninth Circuit appears to
6 require more than a failure to reprimand to establish a municipal
7 policy or ratification of unconstitutional conduct. In Henry, for
8 example, the court did not rely exclusively on the failure to
9 reprimand; rather, evidence of other incidents occurring after
10 Henry had filed suit against the County and "after being put on
11 notice unequivocally of its deputies' * * * unconstitutional
12 treatment of Henry," was "persuasive evidence of deliberate
13 indifference or of a policy encouraging such official misconduct."
14 132 F3d at 519.

15 Prior to defendants' summary judgment motions, plaintiffs
16 presented almost no evidence that Sheriff Hennessy routinely
17 exonerates deputies of wrongdoing. Yet before ruling on
18 defendants' motions, the court ordered defendants to respond to
19 plaintiffs' remaining discovery request and instructed plaintiffs
20 to submit a letter brief "[i]f the discovery yields new evidence
21 that would aid the court in deciding" defendants' motions. Doc
22 #143. On October 18, 2006, plaintiffs submitted a letter brief and
23 attached several grievances filed in San Francisco county jail no
24 2. In their letter, plaintiffs contend the eighteen grievances
25 submitted by jail inmates show that San Francisco has a systematic
26 policy of deliberate indifference to constitutional violations.
27 Doc #147. The court disagrees. Plaintiffs have selected these
28 eighteen grievances from the more than 200 grievances against staff

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1 filed in San Francisco county jail no 2 over a one-year period,
2 during which more than 6000 different inmates were housed in the
3 facility. Moreover, of the fourteen incidents described by the
4 grievances, many cannot be characterized as constitutional
5 violations; indeed, for five incidents, the inmate reported he was
6 "satisfied" with the response to his complaint. On plaintiffs'
7 account, then, fewer than one-quarter of one percent of county jail
8 no 2 inmates complain of any kind of force in a year. Compare
9 Thomas v City of Chattanooga, 398 F3d 426, 430-31 (6th Cir 2005)
10 (rejecting custom claim in the absence of evidence that the number
11 of complaints was unusual); Carter v District of Columbia, 795 F2d
12 116, 123 (DC Cir 1986) (reports of force were "scattered and [did]
13 not coalesce into a discernible 'policy'"). The court agrees with
14 defendants that instead of creating an issue of fact on the
15 existence of a pervasive custom of excessive force, plaintiffs'
16 proffered evidence forecloses it. Accordingly, the Sheriff
17 Hennessey is not subject to § 1983 liability on a ratification
18 theory.

19 Alternatively, plaintiffs contend that the City of San
20 Francisco and the sheriff are liable due to deliberately
21 indifferent training and supervision.

22 Section 1983 municipal liability can be supported by a
23 showing that a municipality's deliberately indifferent training
24 deficiency was the moving force behind the deprivation of the
25 plaintiff's constitutional rights. Such circumstances arise when
26 "in light of the duties assigned to specific officers or employees
27 the need for more or different training is so obvious, and the
28 inadequacy so likely to result in the violation of constitutional

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1 rights, that the policymakers of the city can reasonably be said to
2 have been deliberately indifferent to the need." City of Canton v
3 Harris, 489 US 378, 390 (1989). Accordingly, it will not suffice
4 "to prove that an injury or accident could have been avoided if an
5 officer had [received] better or more training, sufficient to equip
6 him to avoid the particular injury-causing conduct." Id at 391.
7 The Supreme Court adopted such a high standard – i e, deliberate
8 indifference – to avoid having federal courts endlessly "second-
9 guess" the wisdom of municipal training programs, a task the
10 Supreme Court found inappropriate for the federal judiciary. City
11 of Canton v Harris, 489 US 378, 392 (1989).

12 Whether a local government entity has displayed a policy
13 of deliberate indifference is generally a question of fact for the
14 jury. Oviatt, 954 F2d at 1478. But if a plaintiff fails to
15 introduce evidence from which a jury could infer deliberate
16 indifference, the issue may be resolved summarily. See, e g,
17 Mateyko v. Felix, 924 F2d 824, 826 (9th Cir 1991), cert denied, 502
18 US 814 (testimony that officers received only three to four hours
19 of Taser gun training and lacked information as to the Taser's
20 precise effect would at best support a finding of mere negligence).
21 In comparison, cases that have survived defense motions for summary
22 disposition have involved training programs that were far below
23 national standards, Reed v Hoy, 909 F2d 324, 331 (9th Cir 1989),
24 cert denied, 501 US 1250, or virtually nonexistent, Davis v Mason
25 County, 927 F2d 1473, 1482-83 (9th Cir 1991) (judgment for
26 plaintiff as a matter of law).

27 The court finds that the evidence proffered by plaintiffs
28 regarding other incidents and defendants' response (or lack

1 thereof) fails to raise a dispute of material fact whether the
2 municipality has a policy or practice of indifference to
3 constitutional violations by officers. The declarations provided
4 by plaintiffs describe approximately 17 other incidents over the
5 course of 29 months. But plaintiffs offer no evidence that would
6 enable a fact-finder to put that number in context, by, for
7 example, comparing the number of incidents to that of similar
8 municipalities with better training programs. Nor do plaintiffs
9 rebut defendants testimony that the number of incidents is
10 relatively small.

11 Further, defendants demonstrate that the SFSD's training
12 regarding the lawful use of force is extensive and comprehensive.
13 Deputies must undergo rigorous peace officer academy training in a
14 POST-accredited program, then receive core training specific to
15 their operations, and then participate in continuing education and
16 training throughout their careers.

17 Plaintiffs have thus failed to demonstrate that a triable
18 fact exists whether the City's maintains a municipal policy of
19 deliberate indifference to its deputies' unconstitutional treatment
20 of inmates. Moreover, plaintiffs' October 18, 2006, letter brief
21 and the attached grievances do not save their Monell claims.
22 Accordingly, the court concludes that no policy or practice of San
23 Francisco caused the violation, so neither San Francisco nor final
24 policymaker Sheriff Hennessy can be liable.

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III

Defendants also move for summary judgment on plaintiffs' claims of constitutionally deficient medical care. For reasons that follow, the court GRANTS defendants' summary judgment on these claims.

A pre-trial detainee's claim for deliberate indifference to medical needs derives from the due process clause rather than the Eighth Amendment's protection against cruel and unusual punishment. Gibson v County of Washoe, 290 F3d 1175, 1187 (9th Cir 2002) (citing Bell v Wolfish, 441 US 520, 535 (1979)). Yet the same substantive standard applies under both the Eighth Amendment and the Fourteenth Amendment. Wolfish, 441 US at 535. A determination of "deliberate indifference" involves an examination of two elements: the seriousness of the prisoner's medical need and the nature of the defendant's response to that need. See McGuckin v Smith, 974 F2d 1050, 1059 (9th Cir 1992), overruled on other grounds, WMX Technologies, Inc v Miller, 104 F3d 1133, 1136 (9th Cir 1997) (en banc).

A "serious" medical need exists if the failure to treat a prisoner's condition could result in further significant injury or the "unnecessary and wanton infliction of pain." Id (citing Estelle v Gamble, 429 US 97, 104 (1976). Examples of indications that a prisoner has a "serious" need for medical treatment include the existence of an injury that a reasonable doctor or patient would find important and worthy of comment or treatment, the presence of a medical condition that significantly affects an individual's daily activities or the existence of chronic and substantial pain. Id at 1059-60 (citing Wood v. Housewright, 900

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1 F2d 1332, 1337-41 (9th Cir 1990)).

2 A prison official is deliberately indifferent if he knows
3 that a prisoner faces a substantial risk of serious harm and
4 disregards that risk by failing to take reasonable steps to abate
5 it. Farmer v Brennan, 511 US 825, 837 (1994). The prison official
6 must not only "be aware of facts from which the inference could be
7 drawn that a substantial risk of serious harm exists," but he "must
8 also draw the inference." Id.

9 In order for deliberate indifference to be established,
10 therefore, there must be a purposeful act or failure to act on the
11 part of the defendant and resulting harm. See McGuckin, 974 F2d at
12 1060; Shapley v Nevada Bd of State Prison Comm'rs, 766 F2d 404, 407
13 (9th Cir 1985). A finding that the defendant's activities resulted
14 in "substantial" harm to the prisoner is not necessary, however.
15 Nor must plaintiffs demonstrate that the defendant's actions were
16 egregious. McGuckin, 974 F2d at 1060, 1061 (citing Hudson v
17 McMillian, 503 US 1, 7-10 (1992) (rejecting "significant injury"
18 requirement and noting that Constitution is violated "whether or
19 not significant injury is evident")). Nevertheless, the existence
20 of serious harm tends to support an inmate's deliberate
21 indifference claims. Jett v Penner, 439 F3d 1091, 1096 (9th Cir
22 2006) (citing McGuckin, 974 at 1060).

23 Once the prerequisites are met, it is up to the
24 factfinder to determine whether deliberate indifference was
25 exhibited by the defendant. Such indifference may appear when
26 prison officials deny, delay or intentionally interfere with
27 medical treatment, or it may be shown in the way in which prison
28 officials provide medical care. See McGuckin, 974 at 1062 (delay

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1 of seven months in providing medical care during which medical
2 condition was left virtually untreated and plaintiff was forced to
3 endure "unnecessary pain" sufficient to present colorable § 1983
4 claim). Compare Clement v Gomez, 298 F3d 898, 905 (9th Cir 2002)
5 (jury could find deliberate indifference where officials denied
6 showers and medical attention to inmates who had been exposed to
7 pepper-spray); and Lopez v Smith, 203 F3d 1122, 1132 (9th Cir.
8 2000) (en banc) (summary judgment should not have been granted to
9 defendants where plaintiff presented evidence that prison officials
10 failed and refused to follow doctor's orders for a liquid diet for
11 plaintiff whose mouth had been wired shut to treat a broken jaw);
12 with Toguchi v Chung, 391 F3d 1051, 1058-60 (9th Cir 2004) (summary
13 judgment in favor of defendant doctor appropriate where evidence
14 showed doctor did not believe that Cogentin use presented a serious
15 risk of harm to plaintiff; claim that doctor failed to conduct a
16 differential diagnosis did not amount to more than negligence and
17 claim that doctor failed to employ emergency treatment was
18 conclusory); Hallett v Morgan, 296 F3d 732, 745-46 (9th Cir 2002)
19 (plaintiffs could not prove 8th Amendment violation in class action
20 because they "have not demonstrated that delays occurred to
21 patients with [dental] problems so severe that delays would cause
22 significant harm and that Defendants should have known this to be
23 the case").

24 A plaintiff need not prove a complete failure to treat.
25 Deliberate indifference may be shown where access to medical staff
26 is meaningless as the staff is not competent and does not render
27 competent care. Ortiz v City of Imperial, 884 F2d 1312, 1314 (9th
28 Cir. 1989) (summary judgment reversed where medical staff and

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1 doctor knew of head injury, disregarded evidence of complications
2 to which they had been specifically alerted and without examination
3 prescribed contraindicated sedatives). Yet in order to prevail on
4 a claim involving choices between alternative courses of treatment,
5 a plaintiff must show that the course of treatment the doctors
6 chose was medically unacceptable under the circumstances and that
7 he or she chose this course in conscious disregard of an excessive
8 risk to plaintiff's health. Toguchi, 391 F3d at 1058; Jackson v
9 McIntosh, 90 F3d 330, 332 (9th Cir 1996) (citing Farmer v Brennan,
10 511 US 825, 837 (1994)).

11 Moreover, medical malpractice or negligence is
12 insufficient to make out a constitutional violation. See Toguchi,
13 391 F3d at 1060-61; Hallett v Morgan, 296 F3d 732, 744 (9th Cir
14 2002); Frost v Agnos, 152 F3d 1124, 1130 (9th Cir 1998) (finding no
15 merit in claims stemming from alleged delays in administering pain
16 medication, treating broken nose and providing replacement crutch,
17 because claims did not amount to more than negligence); McGuckin,
18 974 F2d at 1059 (mere negligence in diagnosing or treating a
19 medical condition, without more, does not violate a prisoner's
20 constitutional rights); O'Loughlin v Doe, 920 F2d 614, 617 (9th Cir
21 1990) (repeatedly failing to satisfy requests for aspirins and
22 antacids to alleviate headaches, nausea and pains is not
23 constitutional violation; isolated occurrences of neglect may
24 constitute grounds for medical malpractice but do not rise to level
25 of unnecessary and wanton infliction of pain); Anthony v Dowdle,
26 853 F2d 741, 743 (9th Cir 1988) (no more than negligence stated
27 where prison warden and work supervisor failed to provide prompt
28 and sufficient medical care).

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Even liberally construed, plaintiffs' version of the incidents state at most a claim for negligence, not constitutionally deficient medical care. For example, Henderson's fracture was so minor that it did not appear on his x-ray; only a CT scan revealed the fracture. So it is not surprising or troublesome that this diagnosis arose two days after the incident. The constitution does require a prison to administer CT scans and X-rays on prisoner demand.

Further, several plaintiffs criticize the medical staff's use of Motrin and Tylenol for treatment before receiving further medical tests. Again this does not amount to constitutionally deficient care. Indeed, patients who wait in the average emergency room receive no better treatment.

Finally, the constitution does not require medical staff to defer to a prisoner's preferred medical regimen. For example, Janel Gotta claims she was "begging" to see someone from the jail psychiatric unit, but instead was treated by jail medical staff. Goldenson decl, ¶ 49. It was not until a second panic attack that she was examined by medical and psychiatric staff and sent to the hospital for treatment. Id. Because Gotta received treatment throughout the entire incident, her complaint, in essence, is that her psychiatric treatment was not immediate. But minor delay in treatment without more is insufficient to state a claim of deliberate medical indifference. Shapley v Nevada Bd of State Prison Commissioners, 766 F2d 404, 408 (9th Cir 1985). Gotta's claim for constitutionally deficient medical care cannot survive summary judgment.

Because the court finds there was no violation of

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1 plaintiffs' constitutional rights regarding medical care, the court
2 declines to address defendants contention that no policy or
3 practice of San Francisco caused the violation.

4

5

IV

6 Plaintiffs Henderson, Rauls and Perez allege they were
7 placed in administrative segregation in violation of their
8 Fourteenth Amendment rights. Defendants have moved for summary
9 judgment on this issue. For reasons that follow, the court GRANTS
10 defendants' motions for summary judgment on plaintiffs' claims
11 arising from their administrative segregation.

12 For a due process claim from a pretrial detainee, the
13 court first assesses whether the alleged deprivation amounts to
14 punishment and therefore implicates the due process clause itself;
15 if so, the court then determines what process is due. See, e.g.,
16 Bell v Wolfish, 441 US 520, 537-38 (1979) (discussing tests
17 traditionally applied to determine whether governmental acts are
18 punitive in nature).

19 The court first finds that the nature and length of the
20 administrative segregation for plaintiffs Henderson and Rauls –
21 lasting two days and two weeks, respectively – does not give rise
22 to a constitutionally protected liberty interest. The deprivation
23 for both plaintiffs is far less than the 30 days' solitary
24 confinement the Supreme Court held did not constitute an "atypical
25 and significant hardship" requiring procedural protections in
26 Sandin v Conner, 515 US 472, 484 (1995). See also May v Baldwin,
27 109 F3d 557, 565 (9th Cir 1997) (mere placement in administrative
28 segregation not enough to state claim after Sandin); Mujahid v

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1 Meyer, 59 F.d 931, 932 (9th Cir. 1995) (despite prior case law
2 determining disciplinary regulations created liberty interest,
3 under Sandin no liberty interest when inmate placed in disciplinary
4 segregation for 14 days).

5 The administrative segregation would not implicate a
6 constitutionally protected liberty interest even if the court
7 declines to apply Sandin and instead inquires into whether
8 California law creates a constitutionally protected entitlement for
9 pretrial purposes. See Valdez v Rosenbaum, 302 F3d 1039, 1044 n3
10 (9th Cir 2002). The relevant regulation, California code of
11 regulations title 15, section 1053, does not require, in explicitly
12 mandatory language, that if substantive predicates are met, a
13 particular outcome must follow. See Smith v Noonan, 992 F2d 987,
14 989 (9th Cir 1993) (provision that merely raises procedural
15 requirements without substantive predicates, even if mandatory, not
16 enough). It merely directs detention facility administrators to
17 segregate administratively inmates who are determined to be prone
18 to escape, prone to assault staff or other inmates or likely to
19 need protection from other inmates. See Cal Code Regs, tit 15, §
20 1053.

21 Plaintiff Perez's ten months in administrative
22 segregation, however, survives the threshold requirement of
23 implicating the due process clause. Pretrial detainees may not be
24 subjected to disciplinary segregation as punishment for violation
25 of jail rules and regulations without a due process hearing to
26 determine whether they in fact have violated any rule or
27 regulation. Mitchell v Dupnik, 75 F3d 517, 524-25 (9th Cir 1996)
28 (citing Wolff v McDonnell, 418 US 539 (1974)). But detainees may

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1 be segregated for administrative or security reasons with less
2 procedural due process: (1) an informal nonadversary hearing
3 within a reasonable time after placement in segregation, (2) notice
4 of the reasons segregation is being considered, and (3) an
5 opportunity for the detainee to present his views. See Toussaint v
6 McCarthy, 801 F2d 1080, 1100 (9th Cir 1986). Following placement
7 in administrative segregation, prison officials also must engage in
8 some sort of periodic review of the detainee's confinement in
9 administrative segregation. *Id* at 1101.

10 In support of their motion for summary judgment on
11 Perez's claim, defendants submit declarations and accompanying
12 evidence which establish the following: (1) correctional officials
13 decided to administratively segregate Perez after he spent one
14 month in lock-up because he was prone to assaulting staff, not to
15 punish him; (2) correctional officials held an informal
16 non-adversary hearing, informed Perez of the reasons for placement
17 in segregation and provided him with an opportunity to present his
18 views and (3) correctional officials reviewed Perez's continued
19 placement in administrative segregation with frequent follow-up
20 interviews. See Doc #67 (St Hilaire decl). In sum, defendants
21 contend that Perez was properly segregated for administrative and
22 security reasons and that he received all the process he was due.

23 Defendants also point out that Perez admits to receiving
24 these procedural protections. In his deposition, Perez conceded
25 that he was issued a notice, that he had violated jail rules after
26 his altercation with deputy Prado, and that he received a copy of a
27 report describing the incident. Perez depo, 101:6-7, 117:6-13.
28 Perez also admitted he received a hearing about the incident from

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1 lieutenant Macauley, who interviewed Perez about the incident. Id
2 at 99:14-100:6.

3 All three plaintiffs nevertheless contend there are
4 genuine issues of material fact which preclude summary judgment in
5 favor of defendants. In order to withstand defendants' motion for
6 summary judgment, however, plaintiffs must "go beyond the
7 pleadings, and by [their] own affidavits, or by 'depositions,
8 answers to interrogatories, or admissions on file' designate
9 'specific facts showing that there is a genuine issue for trial.'"
10 Celotex Corp, 477 US at 324 (quoting Fed R Civ P 56(e)).

11 Plaintiffs submit largely conclusory declarations in which they
12 asserts they were denied due process because the informal hearings
13 were brief and unhelpful. Plaintiffs' assertions (assuming
14 arguendo that they are not conclusory) do not genuinely contradict
15 defendants' showing that they segregated plaintiffs for
16 administrative and security reasons and that they provided
17 plaintiffs with all the process they were due. Plaintiffs have
18 failed to set forth specific facts showing that there is a genuine
19 issue for trial, and therefore defendants are "entitled to judgment
20 as a matter of law." Celotex Corp, 477 US at 323.

21

22 V

23 The court next addresses plaintiffs' motion to amend
24 their complaint. Doc #83. Plaintiffs filed their initial
25 complaint on January 14, 2005. Doc #1. Plaintiffs now seek to (1)
26 substitute six "Doe" defendants with newly identified individual
27 defendants, (2) add state law claims for violations of Cal Civ Code
28 §§ 43, 52(1)(a) and 1701(5) in counts seventeen through twenty-

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1 eight and (3) withdraw their fourth, seventh and thirteenth causes
2 of action. Doc #83-1 at 3.

3 Plaintiffs' motion relies on FRCP 15. Doc #83-1 part
4 II(A). At this juncture, Rule 15(a) allows plaintiffs to amend
5 their complaint only "by leave of court or by written consent of
6 the adverse party." FRCP 15(a). Since defendants have "declined
7 to stipulate to the amendment * * *," doc #83 at 2, plaintiffs'
8 only avenue for amendment is by leave of court.

9 Under Rule 15(a), "leave [to amend] shall be freely given
10 when justice so requires," FRCP 15(a), although this decision falls
11 within the discretion of the district court. Forman v Davis, 371
12 US 178, 182 (1962). "In exercising this discretion, a court must
13 be guided by the underlying purpose of Rule 15 – to facilitate
14 decision on the merits, rather than on the pleadings or
15 technicalities." Roth v Garcia Marquez, 942 F2d 617, 628 (9th Cir
16 1991) (citing US v Webb, 655 F2d 977, 979 (9th Cir 1981)). The
17 existence of bad faith, undue delay, prejudice to the opposing
18 party or futility of amendment, however, may justify denial.
19 Forman, 371 US at 182. Plaintiffs argue the court should allow
20 amendment since none of the aforementioned Forman factors is
21 present. Doc #83-1 at 3. Defendants, however, allege the
22 existence of both undue delay and bad faith, and for those reasons
23 argue plaintiffs' motion to amend should be denied. Doc #131.

24 Defendants allege that plaintiffs acted with undue delay
25 by "wait[ing] until after the motions for summary judgement were
filed" to seek leave. Id at 4. Defendants further allege bad
26 faith because plaintiffs "seek[] to add new defendants, causes of
27 action and legal theories in an effort to avoid imposition of
28

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1 summary judgment." Id at 3. Undue delay in combination with a
2 showing of other Forman factors, can be sufficient for denial.
3 Bowles v Reade, 198 F3d 752, 758 (9th Cir 1999) (internal citations
4 omitted).

5 During the January 24, 2006, case management conference,
6 plaintiffs agreed to amend their complaint "to name additional
7 individual deputies * * *, and [to] add or drop other allegations
8 as part of the amendment process" once plaintiff Gotta "review[ed]
9 photographs of sheriff's deputies and [sat for] a deposition." Doc
10 #27 part IV(B). The pictures were produced on April 24, 2006, and
11 the deposition took place on April 27, 2006. Flynn decl, ¶ 3-4.
12 At the same conference, plaintiffs were put on notice of
13 defendants' intent to file a motion for summary judgment on or near
14 June 12, 2006. Doc #27 part IV(D). On July 6, 2006, defendants
15 filed their motion for summary judgment. Doc #55. Six weeks
16 later, on August 23, 2006, plaintiffs filed this motion to amend.
17 Doc #83-1.

18 As a preliminary matter, plaintiffs do not assert any
19 reason why they did not file sooner. See doc #83-1. Moreover,
20 defendants allege plaintiffs have known the "identity and alleged
21 roles of proposed defendants Sergeant Sears and Deputies James,
22 Antonioti, Cabebe[] and Gonzalez since August 2005" and of Deputy
23 Turner since April 2006, after they received deputies' photographs.
24 Doc #131 at 3.

25 Plaintiffs should have sought leave to amend, as
26 promised, after plaintiff Gotta reviewed the photographs and was
27 deposed. At the very least, plaintiffs had from April 27, 2006
28 (the date of Gotta's deposition), until June 12, 2006 (anticipated

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1 date of defendants' motion for summary judgment), to produce the
2 proposed amendments and seek leave of court. Six weeks is more
3 than enough time to complete such a task. Defendants, moreover,
4 did not file their motion until almost a month later, giving
5 plaintiffs an additional two weeks (at the very least) to seek
6 leave.

7 That plaintiffs had over six months to conduct additional
8 discovery and uncover additional defendants and causes of action,
9 coupled with plaintiffs' inexplicable delay in seeking leave to
10 amend until over six weeks after defendants filed their motion for
11 summary judgment suggests that plaintiffs waited to amend until
12 they had the opportunity to assess the merits of defendants'
13 summary judgment motion. These actions indicate plaintiffs delayed
14 in order to avoid imposition of summary judgment. Accordingly, the
15 court DENIES plaintiffs leave to amend their complaint.

16
17 VI

18 Finally, the court turns to the issue of sanctions, which
19 defendants seek for plaintiffs' repeated violation of the court's
20 protective order. Doc #152. For reasons that follow, the court
21 GRANTS defendants' motion for sanctions.

22 Early in this litigation, plaintiffs requested that
23 defendants produce confidential personnel information of individual
24 depute sheriffs concerning previous excessive force complaints
25 against them. To protect this sensitive information, the parties
26 stipulated to a protective order requiring that personnel
27 information be kept confidential. Doc #26.

28 During discovery, a dispute arose regarding plaintiffs

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1 request for all grievances related to claims of excessive force or
2 medical indifference filed in all jail facilities from December 13,
3 1998 to the present time. Defendants opposed such requests,
4 asserting they invaded the privacy of unnamed deputies unrelated to
5 the litigation. The court rejected defendants' position,
6 concluding that defendants' concerns regarding confidentiality
7 "ignore the court's protective order, which addresses this very
8 issue." Doc #110 at 4. The court stated:

9 To the extent the proposed discovery relates to the
10 individual defendants, it is expressly contemplated by
the protective order. Moreover, defendants concede that
they want this 'strictly drawn protective order' to
'apply to any documents or information produced here.'
11 Dempsey Decl., ¶ 27. Because the whole point of the
protective order was to enable defendants to produce
12 material of the type requested here, the court sees no
reason why plaintiffs' proposed compromise is
13 problematic to defendants.

14 The court also observes that if plaintiffs or
15 plaintiffs' counsel are responsible for the unauthorized
disclosure of any of the confidential information in the
16 grievances, they 'may be subject to sanctions and
contempt.' Protective Order, ¶ 9. *This palpable threat
of sanctions should prevent the release of any protected
information.*

* * *

19 Accordingly, defendants are hereby ORDERED to provide
20 plaintiffs with all grievances classified as 'complaints
against staff' that were filed in San Francisco county
21 jail #2 between May 1, 2003 and May 1, 2004. The
provisions of the stipulated protective order (Doc #26)
22 govern the disclosure of these documents. *Id* at 4-5
(emphasis added).

23
Because plaintiffs violated the protective order after the
24 parties submitted the discovery issue but before the court issued
its September 1, 2006, order, defendants moved for reconsideration,
25 arguing that plaintiffs' violation demonstrated they should not be
26 trusted to obey the court's protective order. Doc #112. Although
27
28

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1 troubled by plaintiffs' apparent carelessness, the court declined to
2 reverse its discovery order because the grievances had not been
3 produced, hence, the effect of an order denying production could not
4 be measured. Doc #143. The court nevertheless invited defendants
5 to move for sanctions in connection with the alleged violations of
6 the protective order. *Id.*

7 On October 18, 2006, plaintiffs disregarded the protective
8 order, again. Upon receipt of the requested inmate grievances
9 against jail staff, plaintiffs promptly e-filed in the public docket
10 30 pages of the confidential material. Doc #144. Plaintiffs claim
11 they "did not intentionally disregard or disobey a court order, and
12 instead may have simply misinterpreted it." Doc #162. The court
13 cannot comprehend how its orders could be read to authorize
14 plaintiffs' public filing. This disclosure violates the court's
15 protective order, Doc #26, the court's discovery order, Doc #110,
16 and the court's order denying reconsideration, Doc #143. Even
17 accepting plaintiffs' implausible interpretation, the court's three
18 orders at least oblige plaintiffs to seek clarification before
19 filing the materials publicly.

20 Throughout this discovery, the court has assured
21 defendants that the "palpable threat of sanctions [would] prevent
22 the release of any protected information." Doc #110. Yet
23 plaintiffs have proved the court wrong – twice. Accordingly, the
24 court must follow through on its threat and GRANT defendants' motion
25 for sanctions.

26 Turning to the question of the amount that should be
27 awarded, the court finds defendants' request reasonable. Defendants
28 seek compensation for 2.75 hours of attorney time in connection with

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1 plaintiffs' first violation, including reviewing plaintiffs'
2 documents filed in opposition to summary judgment, contacting and
3 meeting and conferring with plaintiffs regarding the violation and
4 reviewing and executing stipulations regarding re-filing those
5 documents under seal. Flynn decl, ¶ 14. For the second violation,
6 defendants seek compensation for 1.25 hours of attorney time. Id.
7 Defendants seek compensation for 2.0 attorney hours in preparing
8 their administrative motion to seal plaintiffs' letter brief and
9 14.0 hours for their motion for sanctions and its accompanying
10 declarations. Id. The court finds the claimed time reasonable.
11 Defendants' counsel's work product was at least of the quality of a
12 reasonably skilled attorney, and the number of hours expended are
13 reasonable in light of the fairly simple -- but not utterly trivial
14 -- nature of the papers that defendants needed to file.

15 The court further finds the claimed rates of \$175/hour for
16 Mr Keith and \$184/hour for Mr Flynn reasonable both because they
17 constitute the internal rate billed to the City and because they
18 comport with rates the court has found reasonable in similar
19 contexts. See, e.g., Yahoo!, Inc v Net Games, Inc, 329 F Supp 2d
20 1179, 1189-92 (N D Cal 2004) (finding a \$190/hour rate to be
21 reasonable). Accordingly, the court awards defendants \$3626.00, to
22 be satisfied by plaintiffs' counsel. The court also GRANTS
23 defendants' unopposed administrative motion to seal plaintiffs'
24 letter brief (Doc #147).

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1 VII

2 In sum, the court GRANTS defendants' motion for summary
3 judgment on plaintiffs' claims for constitutionally deficient
4 medical care, GRANTS summary judgment on plaintiffs' claims for
5 excessive force against the City and County of San Francisco, the
6 San Francisco Sheriff's Department and Sheriff Michael Hennessey,
7 GRANTS summary judgment on plaintiffs' claims regarding
8 administrative segregation against Sheriff Hennessey and DENIES
9 summary judgment on plaintiffs' excessive force claims against
10 individual deputies. The court also DENIES plaintiffs' motion for
11 leave to amend their complaint and GRANTS defendants' motion for
12 separate trials of plaintiffs' claims. Finally, plaintiffs' counsel
13 is ORDERED FORTHWITH to pay defendants their attorney fees in the
14 amount of \$3626.00.

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16 IT IS SO ORDERED.
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19 VAUGHN R WALKER
20 United States District Chief Judge
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